

UNLV FOUNDATION

STOCK DONATION FORM

Board of Regents' Handbook, Title 4, Chapter 10. A.2.h. The Foundation will not invest in land, engage in joint ventures or invest in equity positions in privately held stocks that are not listed on the New York Stock Exchange (NYSE), National Association of Securities Dealers Automated Quotation (NASDAQ) or other nationally recognized stock market without full disclosure to the Chief Financial Officer and the approval of the institution President. If such assets outside these categories are received as gifts, they may be retained if the President agrees to their appropriateness as investments. Such transactions must be disclosed to the Board of Regents Audit Committee at its next regularly scheduled meeting. If the President, on advice of the Chief Financial Officer, does not agree with the appropriateness of an investment or activity of the Foundation, the President must report that activity to the Board of Regents at the next regularly scheduled meeting.

A stock gift differs from a cash gift in that the value can decrease between the time the stock is received and the stock is sold. It is **imperative** that the information on this form be given immediately to the contacts listed below.

Contact persons: Give or e-mail this completed form to both contacts.

1. Tiffany Vickers, CFO (tiffany.vickers@unlv.edu) (702)895-2834
2. Vida Vicuna, Accounting Manager (vida.vicuna@unlv.edu) (702)895-2837

UNLV Foundation Broker Account:

State Street Brokerage Account Name: **UNLV Foundation Gift** Account #: **ULBH** DTC#: **0997**

State Street Contact: **Steve Chiles** Phone: (816) 871-7220 Email: sechiles@statestreet.com

Date: _____ UNLV Development Staff (solicitor) _____

Questions to be answered by UNLV Development Staff, donor and broker:

1. Donor Name(s)/Raiser's Edge Number _____
(If this is a new donor, please provide contact information on back of this form for Records (address, phone number, etc))
2. List the broker and brokerage company of the donor _____
3. Name of person/firm who called or informed UNLV Foundation of the gift _____
4. Broker telephone number _____
5. Stock ticker and description (i.e. IBM, AT&T) _____
6. Number shares of stock to be donated _____
7. Gift expectance date _____
8. Gift purpose (i.e. gift designation)/Fund Number or Acct Number:

9. Is there a gift agreement? If so attach a copy _____

FOR UNLV FOUNDATION ACCOUNTING OFFICE USE ONLY (State Street will confirm these items):

Date: _____ Name of Stock _____

Broker Name _____ Number of Shares _____

The gift value of the securities, \$ _____, was based on the mean between a high of \$ _____, and a low of \$ _____, on _____, the date of transaction.